

Form PTO 1449
(Modified)U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICEATTY DOCKET NO.
196975US6XPCTSERIAL NO.
09/509,438

LIST OF REFERENCES CITED BY APPLICANT

APPLICANT

Mats LEIJON, et al.

FILING DATE

June 13, 2000

GROUP

2834

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
BM	AA	3,820,048	6/74	Ohta et al			
	AB	4,326,181	4/82	Allen			
	AC	4,552,990	11/85	Persson et al			
	AD	4,663,603	5/87	van Riemsdijk et al			
	AE	5,175,396	12/92	Emery et al			
	AF	5,239,146	3/94	Aosaki et al			
	AG						
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		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION YES	NO
	AO					
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OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)

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LIST OF RELATED CASES

DOCKET NO.

SERIAL NO.

STATUS

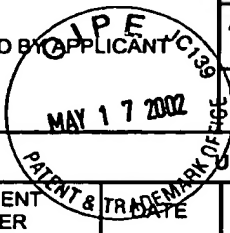
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Form PTO 1449 (Modified)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY DOCKET NO. 196975US-6X PCT		SERIAL NO. 09/509,438	
LIST OF REFERENCES CITED BY APPLICANT <div style="text-align: center; margin-top: 20px;">  </div>				APPLICANT Mats LEIJON, et al.			
				FILED: JUNE 13, 2000		GROUP 2834	
U.S. PATENT DOCUMENTS							
EXAMINER INITIAL	AA	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
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	AB						
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FOREIGN PATENT DOCUMENTS							
		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION YES NO		
<i>BM</i>	AO	WO 97/45908	12-04-97	WIPO (ENGLISH)		X	
	AP						
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OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)							
	AW						
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Examiner <i>BM</i>					Date Considered <i>9/13/04</i>		

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INFORMATION DISCLOSURE CITATION LIST
ALTERNATE FORM PTO-1449
(additional to original listing)

Docket Number:
196975US6XPCT

Application Number
09/509,438



Applicant(s):
MATS LEIJON ET AL

Filing Date:
MARCH 28, 2000

Group Art Unit:
2834

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EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
<i>BM</i>	1	US 1,508,456	9/16/24	W.G.Lenz			
	2	US 1,904,885	4/18/33	G.A.Seeley			
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Sheet 2 of 7

**INFORMATION DISCLOSURE CITATION LIST
ALTERNATE FORM PTO-1449**



FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION	
					YES	NO
<i>BM</i>	1	DE 209,313	4/25/84	Germany		
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Examiner <i>BM</i>	Date Considered <i>9/4/04</i>
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Sheet 4 of 7

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ALTERNATE FORM PTO-1449
 (Corrected Listing of Original List)



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Date *9/4/04*
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Considered 9/4/04

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INFORMATION DISCLOSURE CITATION LIST
ALTERNATE FORM PT 149
(Corrected Listing of Original List)

Docket Number:

430 Rec'd PCT/PTO 23 MAR 2000

Application Number
09/509438

Applicant(s):

Filing Date:

Group Art Unit:

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
BY	1	US1304451	5/20/19	L. H. Burnham			
	2	US1418856	6/2/22	Robert B. Williamson			
	3	US1481585	1/22/24	James Robert Beard			
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ALTERNATE FORM PTO-1449
(Corrected Listing of Original List)

BM	45	US3418530	11/24/68	W. H. Cheever			
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	50	US3484690	12/16/69	H. Wald			
	51	US3560777	2/2/71	W. Moeller			
	52	US3593123	7/13/71	A. C. Williamson			
	53	US3631519	12/28/71	H. Salahshourian			
	54	US3644662	2/22/72	H. Salahshourian			
	55	US3651402	3/21/72	P. H. Leffmann			
	56	US3670192	6/13/72	A. A. Andersson et al			
	57	US3675056	7/4/72	H. G. Lenz			
	58	US3684821	8/15/72	M. Miyauchi et al			
	59	US3716652	2/13/73	G. E. Lusk et al			
	60	US3716719	2/13/73	H. W. Angelery et al			
	61	US3727085	4/10/73	P. B. Goetz et al			
	62	US3740600	6/19/73	B. Turley			
	63	US3746954	7/17/73	A. Myles set al			
	64	US3758699	9/11/73	G. Lusk et al			
	65	US3778891	12/18/73	R. Amasino et al			
	66	US3781739	12/25/73	L. Meyer			
	67	US3792399	2/17/74	W. McLyman			
	68	US3801843	4/2/74	J. Corman et al			
	69	US3809933	5/7/74	H. Sugawara et al			
	70	US3881647	5/6/75	B. Wolfe			
	71	US3884154	5/20/75	F. Marten			
	72	US3891880	6/24/75	H. Britsch			
	73	US3902000	8/26/75	E. Forsyth et al			
	74	US3932779	1/13/76	A. Madsen			
	75	US3932791	1/13/76	J. Oswald			
	76	US3943392	3/9/76	J. Keuper et al			
	77	US3947278	3/30/76	K. Youtsey			
	78	US3965408	6/22/76	H. Higuchi et al			
	79	US3968388	7/6/76	D. Lambrecht et al			
	80	US3971543	7/27/76	W. Shanahan			
	81	US3974314	8/10/76	H. Fuchs			
	82	US3995785	12/7/76	R. Arick et al			
	83	US4001616	1/4/77	P. Lonseth et al			
	84	US4008409	2/15/77	R. Rhudy et al			
	85	US4031310	6/21/77	L. Jachimowicz			
	86	US4039740	8/2/77	Z. Iwata			
	87	US4041431	8/9/77	G. Enoksen			
	88	US4047138	9/6/77	R. Steigerwald			
	89	US4064419	12/20/77	R. Peterson			
	90	US4084307	4/18/78	G. Schultz et al			
	91	US4085347	4/18/78	K. Lichius			
	92	US4088953	5/9/78	S. Sarian			
	93	US4091138	5/23/78	Takagi et al			
	94	US4091139	5/23/78	J. Quirk			
✓	95	US4099227	7/4/78	J. Liptak			

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82	96	US4103075	7/25/78	E. Adam			
	97	US4106069	8/8/78	J. Trautner et al			
	98	US4107092	8/15/78	R. Carnahan et al			
	99	US4109098	8/22/78	M. Olsson et al			
	100	US4121148	10/17/78	H. Platzer			
	101	US4134036	1/9/79	G. Curtiss			
	102	US4134055	1/9/79	M. Akamatsu			
	103	US4134146	1/9/79	E. Stetson			
	104	US4149101	4/10/79	A. Lesokhin et al			
	105	US4152615	5/1/79	R. Calfo et al			
	106	US4160193	7/3/79	A. Richmond			
	107	US4164672	8/14/79	C. Flick			
	108	US4164772	8/14/79	N. Hingorani			
	109	US4177397	12/4/79	John Lill			
	110	US4177418	12/4/79	K. Brueckner et al			
	111	US4184186	1/15/80	P. Barkan			
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	113	US4200818	4/29/80	C. Ruffing et al			
	114	US4206434	6/3/80	A. Hase			
	115	US4207427	6/10/80	G. Beretta et al			
	116	US4207482	6/10/80	C. Neumeyer et al			
	117	US4208597	6/17/80	A. Mulach et al			
	118	US4229721	10/21/80	W. Koloczek et al			
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	120	US4239999	12/16/80	A. Vinokurov et al			
	121	US4245182	1/13/81	H. Aotsu et al			
	122	US4246694	1/27/81	H-G Raschbichler et al			
	123	US4255684	3/10/81	W. Mischler et al			
	124	US4258280	3/24/81	M. Starcevic			
	125	US4262209	4/14/81	C. Berner			
	126	US4274027	6/16/81	S. Higuchi et al			
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	129	US4308476	12/29/81	R. Schuler			
	130	US4308575	12/29/81	A. Mase			
	131	US4310966	1/19/82	O. Brietenbach			
	132	US4317001	2/23/82	D. Silver et al			
	133	US4320645	3/23/82	L. Stanley			
	134	US4321518	3/23/82	M. Akamatsu			
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	138	US4347449	8/31/82	J. F. Beau			
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	140	US4363612	10/12/82	R. Meyers			
	141	US4357542	11/2/82	H. Kirschbaum			
	142	US4360748	11/23/82	H-G Raschbichler et al			
	143	US4367425	1/4/83	M. Mendelsohn et al			
	144	US4368418	1/11/83	F. P. Demello et al			
	145	US4369389	1/18/83	D. Lambrecht			
	146	US4371745	2/1/83	M. Sakashita			

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147	US4387316	6/7/83	J. Katsekas			
148	US4403163	9/6/83	Rarmerding et al			
149	US4404486	9/13/83	T. Keim et al			
150	US4411710	10/25/83	M.Mochizuki et al			
151	US4421284	12/20/83	A. Pan			
152	US4425521	1/10/84	G. Rosenberry, Jr. et al			
153	US4426771	1/24/84	D. Wang et al			
154	US4429244	1/31/84	P. Nikiten et al			
155	US4431960	2/14/84	O. Zucker			
156	US4443725	4/17/84	S. Derderian et al			
157	US4470884	9/11/84	D. Carr			
158	US4473765	9/25/84	T. Butman, Jr. et al			
159	US4475075	10/2/84	R. Munn			
160	US4477690	10/16/84	P. Nikitin et al			
161	US4481438	11/6/84	T. Keim			
162	US4488079	12/11/84	G. Dailey et al			
163	US4503284	3/5/85	M. Minnick et al			
164	US4510077	4/9/85	R. Elton			
165	US4517471	5/14/85	K. Sachs			
166	US4523249	6/11/85	S. Arimoto			
167	US4538131	8/27/85	M. Baier et al			
168	US4546210	10/8/85	Y. Akiba et al			
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170	US4557038	12/10/85	M. Wcislo et al			
171	US4560896	12/24/85	G. Vogt et al			
172	US4565929	1/21/86	J. Baskin et al			
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176	US4607183	8/19/86	J. Rieber et al			
177	US4615109	10/7/86	M. Wcislo et al			
178	US4618795	10/21/86	G. Cooper et al			
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180	US4633109	12/30/86	J. Feigel			
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185	US4692731	9/8/87	H. Osinga			
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187	US4737704	4/12/88	S. Kalinnikov et al			
188	US4745314	5/17/88	J. Nakano			
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191	US4795933	1/3/89	K. Sakai			
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193	US4845308	7/4/89	E. Womack, Jr. et al			
194	US4847747	7/11/89	A. Abbondanti			
195	US4853565	8/1/89	R. Elton et al			
196	US4859810	8/22/89	R. Cloetens et al			
197	US4860430	8/29/89	H. Raschbichler et al			

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	199	US4883230	11/28/89	L. Lindstrom			
	200	US4894284	1/16/90	S. Yamanouchi et al			
	201	US4914386	4/3/90	S. Zocholl			
	202	US4918347	4/17/90	Y. Takaba			
	203	US4918835	4/24/90	H. Wcislo et al			
	204	US4924342	5/8/90	R. Lee			
	205	US4926079	5/15/90	P. Niemela et al			
	206	US4942326	7/17/90	J. Butler, III et al			
	207	US4949001	8/14/90	S. Campbell			
	208	US4994952	2/19/91	D. Silva et al			
	209	US4997995	3/5/91	M. Simmons et al			
	210	US5012125	4/30/91	D. Conway			
	211	US5036165	7/30/91	R. Elton et al			
	212	US5036238	7/30/91	M. Tajima			
	213	US5066881	11/19/91	R. Elton et al			
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	215	US5083360	1/28/92	M. Valencic et al			
	216	US5086246	2/4/92	J. Dymond et al			
	217	US5094703	3/10/92	M. Takaoka et al			
	218	US5097241	3/17/92	E. Smith et al			
	219	US5097591	3/24/92	M. Wcislo et al			
	220	US5111095	5/5/92	J. Hendershot			
	221	US5124607	6/23/92	J. Rieber et al			
	222	US5136459	8/4/92	D. Fararooy			
	223	US5140290	8/18/92	H. Dersch			
	224	US5153460	10/6/92	L. Bovino et al			
	225	US5168662	12/8/92	K. Nakamura et al			
	226	US5187428	2/16/93	R. Hutchison et al			
	227	US5235488	8/10/93	S. Koch			
	228	US5246783	9/21/93	L. Spenadel et al			
	229	US5264778	11/23/93	D. Kimmel et al			
	230	US5304883	4/19/93	J. Denk			
	231	US5305961	4/26/93	A. Errard et al			
	232	US5321308	6/14/93	A. Johncock			
	233	US5323330	6/21/93	G. Asplund et al			
	234	US5325008	6/28/94	J. Grant			
	235	US5327637	7/12/94	O. Britenbach et al			
	236	US5341281	8/23/94	G. Skibinski			
	237	US5343139	8/30/94	L. Gyugyi et al			
	238	US5355046	10/11/94	K. Weigelt			
	239	US5365132	11/15/94	J. Hann et al			
	240	US5387890	2/7/95	P. Estop et al			
	241	US5397513	3/14/95	C. Steketee, Jr.			
	242	US5400005	3/21/95	H. Bobry			
	243	US5452170	9/19/95	S. Ohde et al			
	244	US5468916	11/21/95	M. Litenas et al			
	245	US5500632	3/19/96	J. Halser, III			
	246	US5510942	4/23/96	L. Bock et al			
	247	US5530307	6/25/96	G. Horst			
✓	248	US5545853	8/13/96	N. Hildreth			

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BMA	249	US5550410	8/27/96	C. Titus			
	250	US5583387	12/10/96	M. Takeuchi et al			
	251	US5587126	12/24/96	C. Steketee, Jr.			
	252	US5598137	1/28/97	F. Alber et al			
	253	US5607320	3/4/97	J. Wright			
	254	US5612510	3/18/97	N. Hildreth			
	255	US5663605	9/2/97	P. Evans et al			
	256	US5672926	9/30/97	J. Brandes et al			
	257	US5689223	11/18/97	A Demarmels et al			
	258	US5807447	9/15/98	I. Forrest			
↓	259	US681800	9/3/01	O. Lasche			
	Subtotal	259					

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION	
					YES	NO
BMA	1	AT399790	7/25/95	Austria		
	2	BE565063	2/23/57	Belgium		
	3	CH391071	4/30/65	Switzerland		
	4	CH534448	2/28/73	Switzerland		
	5	CH539328	7/4/73	Switzerland		
	6	CH657482	8/29/86	Switzerland		
	7	DD137164	8/15/79	Germany DDR		
	8	DD138840	11/21/79	Germany DDR		
	9	DE1638176	6/24/71	Germany		
	10	DE1807391	5/27/70	Germany		
	11	DE2050674	5/19/71	Germany		
	12	DE2155371	5/17/73	Germany		
	13	DE2400698	7/10/75	Germany		
	14	DE2520511	11/18/76	Germany		
	15	DE2656389	6/15/78	Germany		
	16	DE2721905	11/23/78	Germany		
	17	DE277012	7/25/14	Germany		
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	19	DE2824951	12/20/79	Germany		
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	23	DE2913697	10/16/80	Germany		
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	27	DE3006382	8/27/81	Germany		
	28	DE3008818	9/10/81	Germany		
	29	DE3009102	9/25/80	Germany		
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	31	DE3305225	8/16/84	Germany		
	32	DE3309051	9/20/84	Germany		
	33	DE336418	6/23/20	Germany		
	34	DE3441311	5/15/86	Germany		

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36	DE3612112	10/15/87	Germany		
37	DE372390	3/27/23	Germany		
38	DE3726346	2/16/89	Germany		
39	DE387973	1/9/24	Germany		
40	DE4022476	1/16/92	Germany		
41	DE4023903	11/7/91	Germany		
42	DE40414	8/15/1887	Germany		
43	DE4233558	3/31/94	Germany		
44	DE425551	2/20/26	Germany		
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46	DE432169	7/26/26	Germany		
47	DE433749	9/7/26	Germany		
48	DE435608	10/18/26	Germany		
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50	DE4409794	8/24/95	Germany		
51	DE4412761	10/26/95	Germany		
52	DE441717	3/11/27	Germany		
53	DE4420322	12/14/95	Germany		
54	DE443011	4/13/27	Germany		
55	DE460124	5/22/28	Germany		
56	DE482506	9/14/29	Germany		
57	DE501181	7/3/30	Germany		
58	DE523047	4/18/31	Germany		
59	DE568508	1/20/33	Germany		
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61	DE584639	9/27/33	Germany		
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63	DE604972	11/6/34	Germany		
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65	DE673545	3/24/39	Germany		
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71	EP0142813	5/29/85	European		
72	EP0155405	9/25/85	European		
73	EP0174783	3/19/86	European		
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75	EP0244069	11/4/87	European		
76	EP0246377	11/25/87	European		
77	EP0265868	5/4/88	European		
78	EP0274691	7/20/88	European		
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82	EP0314860	5/10/89	European		
83	EP0316911	5/24/89	European		
84	EP0317248	5/24/89	European		
85	EP0335430	10/4/89	European		

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87	EP0375101	6/27/90	European		
88	EP0406437	1/9/91	European		
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91	EP0490705	6/17/92	European		
92	EP049104	4/7/82	European		
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94	EP0571155	11/24/93	European		
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96	EP0642027	3/8/95	European		
97	EP0671632	9/13/95	European		
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111	FR1126975	3/11/55	France		
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113	FR2108171	5/19/72	France		
114	FR2251938	6/13/75	France		
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116	FR2376542	7/28/78	France		
117	FR2467502	4/17/81	France		
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126	GB1059123	2/15/67	United Kingdom		
127	GB1103098	2/14/68	United Kingdom		
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129	GB1117401	6/19/68	United Kingdom		
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134	GB1236082	6/16/71	United Kingdom		
135	GB123906	3/13/19	United Kingdom		
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137	GB1340983	12/19/73	United Kingdom		
138	GB1341050	12/19/73	United Kingdom		
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140	GB1395152	5/21/75	United Kingdom		
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ALTERNATE FORM PTO-1449
(Corrected Listing of Original List)

188	GB965741	8/6/64	United Kingdom		
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236	WO9518058	7/6/95	PCT		
237	WO9522153	8/17/95	PCT		
238	WO9524049	9/8/95	PCT		

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ALTERNATE FORM PTO-1449
(Corrected Listing of Original List)

8m	239	WO9622606	7/25/96	PCT		
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	289	WO9829931	7/9/98	PCT		

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ALTERNATE FORM PTO-1449
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339	WO9928919	6/10/99	PCT		
340	WO9928921	6/10/99	PCT		

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ALTERNATE FORM PTO-1449
(Corrected Listing of Original List)

341	WO9928923	6/10/99	PCT
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368	WO9929029	6/10/99	PCT
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Subtotal: 369			

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10	OD010	Manufacture and Testing of Roebel bars; P. Marti et al; 1960, Pub.86, Vol 8, pp 25-31
11	OD011	Hydroalternators of 110 to 220 kV Elektrotechn. Obz., Vol. 64, No. 3, ppl32-136 March 1975; A. Abramov

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	14	OD014	Optimizing designs of water-resistant magnet wire; V. Kuzenev et al; Elektrotehnika, Vol 59, No 12, pp35-40, 1988
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Bm	42	OD043	A study of equipment sizes and constraints for a unified power flow controller; J Bian et al; IEEE 1996
Subtotal	43		
GRAND TOTAL	671		

Examiner	Bm	Date Considered	9/4/04
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INFORMATION DISCLOSURE CITATION LIST
ALTERNATE FORM PTO-1449

Issue 2: dated 02/21/00

Docket Number:

Application Number

09/509438

Applicant(s):

Filing Date:

Group Art Unit:

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
<i>BM</i>	1	US 4,292,558	9/29/1981	Carl Flick et al			
<i>BM</i>	2	US 4,656,316	4/7/1987	Hans-Juergen Meltsch			
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423 Rec'd PCT/PTO 28 MAR 2000

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	6	WO 98/34239	8/6/1998	Gunnar Steneorps-gatan et al		
	7	WO 99/28922	6/10/1999	Thorsten Schulte et al		
	8	WO 99/29005	6/10/1999	Mats Leijon et al		
	9	WO 99/29023	6/10/1999	Peter Carstensen et al		
	10	WO 99/29025	6/10/1999	Mats Leijon et al		
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